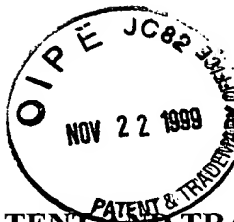


Practitioner's Docket No.: 283_205 CIP



PATENT

sector 3
\$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: James A. Parker, Jeffrey Pine, Thomas J. Koziol, Michael A. Ehrhart,
Andrew Longacre, Jr., Robert M. Hussey, and George S. Smith

Serial No.: 09/385,597

Art Unit: 2876

Filed: August 30, 1999

Examiner: Not Assigned

For: OPTICAL READER SYSTEM COMPRISING LOCAL
HOST PROCESSOR AND OPTICAL READER

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with
the United States Postal Service as first class mail addressed to
Box Missing Parts, Assistant Commissioner for Patents,
Washington D.C. 20231 on November 17, 1999.

Tammy S. Senecal
Tammy S. Senecal

COMPLETION OF FILING REQUIREMENTS
— NONPROVISIONAL APPLICATION

(check and complete this item, if applicable)

I. ☒ This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed
September 24, 1999.

☒ A copy of the Notice to File Missing Parts of Application—Filing Date
Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

II. ☒ No declaration or oath was filed. Enclosed is the original declaration or oath for
this application.

OTHER PAPERS ENCLOSED

III. ☐ A Preliminary Amendment canceling claims without prejudice or disclaimer
☒ Assignment with Assignment Transmittal

TRANSMITTAL OF ENGLISH TRANSLATION
OF NON-ENGLISH LANGUAGE PAPERS

IV. ☐ Submitted herewith is an English translation of the non-English language
application papers as originally filed. Also submitted herewith is a statement by
the translator of the accuracy of the translation. It is requested that this translation
be used as the copy for examination purposes in the PTO.

SMALL ENTITY STATUS

V. ☐ A statement that this filing is by a small entity is attached.

☐ A separate refund request accompanies this paper.

☐ was filed on _____ (original).

COMPLETION FEES

VI. **WARNING:** Failure to submit the surcharge fees where required will cause the application to become abandoned. 37 C.F.R. 1.53.

NOTE: For effect on fees of failure to establish status, or change status, as a small entity, see 37 C.F.R. 1.28(a).

1. Filing Fee
☐ Original Patent Application \$ _____ .00
(37 C.F.R. 1.16(a) --\$760.00; Small Entity--\$380.00)
 2. Fees for Claims (Claims; Indep.)
☐ each independent claim in excess of 3
(37 C.F.R. 1.16(b)--\$78.00; small entity--\$39.00) \$ _____ .00
☐ each claim in excess of 20
(37 C.F.R. 1.16(c)--\$18.00; small entity--\$9.00) \$ _____ .00
☐ multiple dependent claim(s)
(37 C.F.R. 1.16(d)--\$260.00; small entity--\$130.00) \$ _____ .00
 3. Surcharge fees
☒ late payment of filing fee \$ 130.00
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)

and/or

☒ late filing of original declaration or oath \$ _____ .00
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)

NOTE: If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(e).
 4. ☐ Petition and fee for filing by other than all the
inventors or a person not the inventor \$ _____ .00
(37 C.F.R. 1.17(i) and 1.47--\$130.00)
 5. ☐ Fee for processing an application filed with a
specification in a non-English language \$ _____ .00
(37 C.F.R. 1.17(k) and 1.52(d)--\$130.00)
 6. ☒ Assignment Fee \$ 40.00
- Total Completion Fees \$ 170.00**

EXTENSION OF TIME

VII.

(complete (a) or (b), as applicable)

The proceedings herein are for a patent application, and the provisions of 37 C.F.R. 1.136(a) apply.

- (a) ☐ Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. 1.17(a)(1)-(4), for the total number of months checked below:

Extension (Months)		Fee for other than small entity	Fee for small entity
	One Month	\$ 110.00	\$ 55.00
	Two Months	\$ 380.00	\$ 190.00
	Three Months	\$ 870.00	\$ 435.00

Extension Fee

\$ 00.00

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

- ☐ An extension for _____ months has already been secured, and the fee paid therefor of \$ _____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$.00

OR

- (b) ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

TOTAL FEE DUE

VIII.

The total fee due is:	Completion fee(s)	\$ 170.00
	Extension fee (if any)	\$ <u>.00</u>
	Total Fee Due	\$ 170.00

PAYMENT OF FEES

- IX. ☒ Enclosed is a check in the amount of \$170.00.
☐ Charge Account No. _____ in the amount of \$_____. A duplicate of this request is attached.

AUTHORIZATION TO CHARGE ADDITIONAL FEES OR CREDIT OVERPAYMENT

- X. ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0289. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 CFR 1.16.
☒ Any patent application processing fees under 37 CFR 1.17.

REMARKS:

Please note that the designation of inventors in the Declaration of Inventorship presented with this response is different from the original designation of inventors indicated at the time of filing. 37.C.F.R. § 1.48(f) states:

"If the correct inventor or inventors are not named on filing a nonprovisional application under § 1.53(b) without an executed oath or declaration under § 1.63, the later submission of an executed oath or declaration under § 1.63 during the pendency of the application will act to correct the earlier identification of inventorship".

Accordingly, a petition is not required to correct the designation of inventors in the present application.

Respectfully submitted,



George S. Blasiak
Reg. No. 37,283

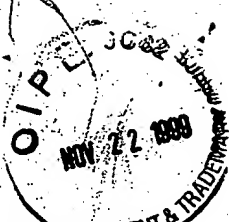
November 17, 1999

Date

GSB/ts

WALL MARJAMA BILINSKI & BURR
101 South Salina Street, Suite 400
Syracuse, NY 13202
Telephone: (315) 425-9000
Facsimile: (315) 425-9114

Customer No.:



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS
 Washington, D.C. 20231

3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/385,597	08/30/99	PARKER	J 283_205CIP

024270924
 WALL MARJAMA BILINSKI & BURR
 101 SOUTH SALINA STREET SUITE 400
 SYRACUSE NY 13202

NOT ASSIGNED

2876

DATE MAILED: 09/24/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:
 - ☐ missing.
 - ☐ insufficient.
 Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☒ 2. The following additional claims fees are due:
 - \$ _____ for _____ total claims over 20.
 - \$ _____ for _____ independent claims over 3.
 - \$ _____ for multiple dependent claim surcharge.
 Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☒ 3. The oath or declaration:
 - ☒ is missing or unsigned.
 - ☐ does not cover the newly submitted items.
 An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☒ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application was filed in a language other than English.
 Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- ☐ 9. OTHER: _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

W. Newt
 Customer Service Center
 Initial Patent Examination Division (703) 308-1202

BEST AVAILABLE COPY

99 MAR 01 00000015 093855 130.00 DP